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U.S. PTO

## UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. MICR0201

Date: February 6, 2001

11-099 U.S. PTO  
778223  
02/06/01

Inventor(s): Justin D. Brown, John W. Smith, Craig A. Link, Hoon Im, and Charles H. Barry

Title: DISTRIBUTED LOAD BALANCING FOR SINGLE ENTRY-POINT SYSTEMS

Full Name of First Named Inventor: Justin D. Brown Country of Citizenship: US

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Full Name of Second Named Inventor: John W. Smith Country of Citizenship: Canada

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Full Name of Third Named Inventor: Craig A. Link Country of Citizenship: US

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Full Name of Fourth Named Inventor: Hoon Im Country of Citizenship: US

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Full Name of Fifth Named Inventor: Charles H. Barry Country of Citizenship: US

Residence Address of Inventor: 9210 157<sup>th</sup> Place NE, Redmond, Washington 98052

## APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal (BELOW)2.  Applicant claims small entity status. See 37 C.F.R. § 1.27.3.  Specification [Total Pages 32]4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 6] [FORMAL]5.  Oath or Declaration [Total Pages 3] (2 ORIGINAL DOCUMENTS)a.  Newly executed (original or copy)b.  Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Item 5 below]ii.  DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).6.  Application Data Sheet. See 37 C.F.R. § 1.767.  CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a.  Computer Readable Form (CRF)b.  Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paperc.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s)) (2 ORIGINAL DOCUMENTS, 2 COVER SHEETS)10.  37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney11.  English Translation Document (if applicable)12.  Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations13.  Preliminary Amendment14.  Return Receipt Postcard (MPEP 503) (Should be itemized specifically)15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: /

Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Correspondence address below:

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 Bellevue, WA 98004

Telephone: 425-688-8816 Facsimile: 425-646-6314

**FEE TRANSMITTAL**

<b>METHOD OF PAYMENT</b> (check one)		<b>Fee Calculation (continued)</b>													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. <b>ADDITIONAL FEES (Continued)</b>													
Deposit Account Number <u>01-1940</u>		Fee Description													
Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u>		Requesting publication of SIR after Examiner action													
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17		Extension for reply within first month													
Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		Extension for reply within second month													
2. <input checked="" type="checkbox"/> Payment Enclosed: <u>X</u> Check (No. <u>1934</u> ) <u>  </u> Money Order <u>  </u> Other Payment NOT Enclosed:		Extension for reply within third month													
<b>Fee Calculation</b>															
1. <b>BASIC FILING FEE</b>															
<table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Utility filing fee</td> <td><b>\$ 710</b></td> </tr> <tr> <td>Design filing fee</td> <td></td> </tr> <tr> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table>		Fee Description	Fee Paid	Utility filing fee	<b>\$ 710</b>	Design filing fee		Plant filing fee		Reissue filing fee		Provisional filing fee			
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Utility filing fee	<b>\$ 710</b>														
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Plant filing fee															
Reissue filing fee															
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<b>SUBTOTAL (1)</b>		<b>\$ 710</b>													
2. <b>EXTRA CLAIM FEES</b>															
<table border="1"> <thead> <tr> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>36 -20 = 16 x</td> <td>\$18 =</td> <td><b>\$ 288</b></td> </tr> <tr> <td>4 - 3 = 1 x</td> <td>\$80 =</td> <td><b>\$ 40</b></td> </tr> <tr> <td>-0- x</td> <td>\$270 =</td> <td><b>\$ -0-</b></td> </tr> </tbody> </table>		Extra Claims	Fee from below	Fee Paid	36 -20 = 16 x	\$18 =	<b>\$ 288</b>	4 - 3 = 1 x	\$80 =	<b>\$ 40</b>	-0- x	\$270 =	<b>\$ -0-</b>		
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-0- x	\$270 =	<b>\$ -0-</b>													
<b>SUBTOTAL (2)</b>		<b>\$ 328</b>													
<b>FEES (SUBTOTALS (1) &amp; (2))</b>		<b>\$ 1038</b>													
3. <b>ADDITIONAL FEES</b>															
Surcharge - late filing fee or oath															
Surcharge - late provisional filing fee or cover sheet															
Non-English specification															
Request for reexamination															
Requesting publication of SIR after Examiner action															
				<b>SUBTOTAL (3)</b> <b>\$ 80</b>											
<b>TOTAL FEES ENCLOSED: \$ 1118</b>															

Typed/Printed Name

Ronald M. Anderson

Registration Number: 28,829

Signature

Ron AndersonDate: February 6, 2001**EXPRESS MAIL CERTIFICATE**Express Mail Label No. EL542941683USDate of Deposit: February 6, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

KATHY PAULINO  
 (Name of person mailing paper/fee)

Kathy Paulino  
 (Signature of person mailing paper or fee)

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<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Justin D. Brown et al.
	Title	DISTRIBUTED LOAD BALANCING FOR SINGLE ENTRY-POINT SYSTEMS
	Attorney Docket Number	MICR0201

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 6, 2001

Date

  
Ronald M. Anderson, Registration No. 28,829

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

**Burden Hour Statement:** This collection of information is required by 37 C.F.R. 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.